Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION

OF E DISCLOSURE STATEMENT

Applicant: Broome et al.

Filing Date

Group Art:

November 27, 2000

3731

U.S. PATENT DOCUMENTS

Exan Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
14/	AA	4,425,908	01/17/84	Simon	128	1	
1	AB	4,706,671	11/17/87	Weinrib	128	348.1	
	AC	4,723,549	02/09/88	Wholey et al.	128	344	
	AD	4,728,319	03/01/88	Masch	604	22	
	AE	4,790,812	12/13/88	Hawkins, Jr. et al.	604	22	
	AF	4,794,928	01/03/89	Kletschka	128	344	
	AG	4,873,978	10/17/89	Ginsburg	128	345	
	АН	4,926,858	05/22/90	Gifford, III et al.	606	159	
	AI	5,011,488	04/30/91	Ginsburg	606	159	
	AJ	5,071,407	12/10/91	Termin et al.	604	104	
	AK	5,100,423	03/31/92	Fearnot	606	159	
	AL	5,108,419	04/28/92	Reger et al.	606	200	
	AM	5,190,546	03/02/93	Jervis	606	78	
	AN	5,329,942	07/19/94	Gunther et al.	128	898	
	AO	5,376,100	12/27/94	Lefebvre	606	180	
	AP	5,421,832	06/06/95	Lefebvre	604	53	
	AQ	5,549,626	08/27/96	Miller et al.	606	200	
1	AR	5,695,519	12/09/97	Summers et al.	606	200	
	AS	5,709,704	01/20/98	Nott et al.	606	200	
	AT	5,769,816	06/23/98	Barbut et al.	604	96	
	AU	5,814,064	09/29/98	Daniel et al.	606	200	
in.	AV	5,827,324	10/27/98	Cassell et al.	606	200	

a	AW	5,876,367	03/	02/99	Ka	ganov et al.	604	8	·
1:	AX	5,895,399	04/	20/99	Ba	rbut et al.	606	159	-
W	AP E	507N,734	06/	15/99	Tsı	agita et al.	606	200	
	AND .	lu. 10		FOREIG	GN F	PATENT DOCUMEN	TS		
(TIENT 8	TRADE Document No	•	Date		Country	Class	Sub Class	Translation Yes No
K	AZ	SU 764684		09/25/80)	USSR		1	
	BA	DE 4030998		10/01/90)	Germany			
	BB	2 020 557 B		01/19/83		United Kingdom			
	ВС	0 737 450 A1		10/16/96 11/10/94		EPO			
	BD	WO 94/24946				PCT			
	BE	WO 96/01591		01/25/96)1/25/96 PCT				
	BF	WO 98/02112	_	01/22/98	:	PCT			
2	BG	WO 98/33443		08/06/98	;	PCT	1-1-		
		ОТНЕ	R AR	T (Includia	ng A	uthor, Title, Date, Per	tinent Pages	, Etc.)	
Or	ВН	Lund et al., "Long Experimental Stu	g-Tei dy",	rm Patency Laboratory	of t	he Ductus Arteriosus Arteriosus Arestigation, Vol. 69, No.	After Balloo o. 4, April 1	on Dilatation: 984, 3 pages	an .
W									
h	-BJ	Cragg et al., "A N	lew I	Percutaneo	us V	ena Cava Filter", <u>AJR</u>	, 141, Septe	mber 1983, 4	4 pages.
EXAM	INER	: R	1	عسد	12	DATE CONSI	DERED:	3/7	100

1

.

·	OIPE				Sheet 1 of 10
FORM PTO-1449	AUG 2 7 2801		Atty. Docket No.: 1001.1350102	Serial No.: 09/723,003	
LIST OF PATENTS APPLICANT'S INFO	ORMATIONDI	TIONS FOR SCLOSURE	Applicant: Broome et al.		
STA	ATEMENT		Filing Date: November 27, 2000	Group Art: 3731	-
			!	· ·	

PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
W	6,214,026	04/10/01	Lepak et al.	606	200	
	6,203,561	03/20/01	Ramee et al.	606	200	
	6,179,861	01/30/01	Khosravi et al.	606	200	
	6,179,859	01/30/01	Bates et al.	. 606	200	
	6,179,851	01/30/01	Barbut et al.	606	159	
	6,171,327	01/09/01	Daniel et al.	606	200	
	6,168,579	01/02/01	Tsugita	604	96.01	-
	6,165,200	12/26/00	Tsugita et al.	606	200	
	6,152,946	11/28/00	Broome et al.	606	200	
	6,142,987	11/07/00	Tsugita	604	500	
	6,129,739	10/10/00	Khosravi	606	200	
į.	6,086,605	07/11/00	Barbut et al.	606	200	
	6,068,645	05/30/00	Tu	606	200_	
	6,059,814	05/09/00	Ladd	606	200	
	6,053,932	04/25/00	Daniel et al.	606	200	<u></u>
	6,051,014	04/18/00	Jang	606	200	*
	6,027,520	02/22/00	Tsugita et al.	606	200 元	\$
	6,013,085	01/11/00	Howard	606	108 💆	REOF
	6,010,522	01/04/00	Barbut et al.	606	200	~ 10
	6,007,557	12/28/99	Ambrisco et al.	606	200 -	2
	6,001,118	12/14/99	Daniel et al.	606	200	R D
	5,997,557	12/07/99	Barbut et al.	606	159	ROOM
	5,993,469	11/30/99	McKenzie et al.	606	159	
	5,989,281	11/23/99	Barbut et al.	606	200	
W	5,989,271	11/23/99	Bonnette et al.	606	159	

FORM PTO-1449

AUG 27 2801

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Broome et al.

Filing Date:

Group Art:

November 27, 2000 3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
IN	5,989,210	11/23/99	Morris et al.	604	22	
	5,976,172	11/02/99	Homsma et al.	606	200	
	5,954,745	09/21/99	Gertler et al.	606	200	
	5,951,585	09/14/99	Cathcart et al.	606	198	
	5,947,995	09/07/99	Samuels	606	200	
	5,941,896	08/24/99	Kerr	606	200	
	5,941,869	08/24/99	Patterson et al.	604	508	
	5,938,645	08/17/99	Gordon	604	264	
	5,935,139	08/10/99	Bates	606	159	
	5,934,284	08/10/99	Plaia et al.	128	898	
	5,928,218	07/27/99	Gelbfish	604	540	
	5,928,203	07/27/99	Davey et al.	604	247	
	5,925,063	07/20/99	Khosravi	606	200	
	5,925,062	07/20/99	Purdy	606	200	
	5,925,060	07/20/99	Forber	606	191	
	5,925,016	07/20/99	Chornenky et al.	604	96	
	5,916,193	06/29/99	Stevens et al.	604	53	
	5,910,154	06/08/99	Tsugita et al.	606	200	
	5,908,435	06/01/99	Samuels	606	200 🗟	
	5,906,618	05/25/99	Larson, III	606	108 -	REC
	5,902,263	05/11/99	Patterson et al.	604	22	~ N M
	5,893,867	04/13/99	Bagaoisan et al.	606	198	- m
	5,848,964	12/15/98	Samuels	600	200	()
	5,846,260	12/08/98	Maahs	606	200	ROOM
	5,833,650	11/10/98	Imran	604	53	
	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	

EXAMINER: K. Therethe

DATE CONSIDERED:

3/1/03

OF		Sheet 3 of To
FORM PTO-1449	Atty. Docket No.: 1001.1350102	Serial No.: 09/723,003
LIST OF PATENTS AND PUBLICANT'S INFORMATIONDIS	Applicant: TIONS FOR Broome et al.	
STATEMENT	Filing Date:	Group Art:
*	November 27, 2000	3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
W	5,817,102	10/06/98	Johnson et al.	606	108	
1	5,810,874	09/22/98	Lefebvre	606	200	
	5,800,525	09/01/98	Bachinski et al.	623	1	
	5,800,457	09/01/98	Gelbfish	606	200	
	5,797,952	08/25/98	Klein	606	198	
	5,795,322	08/18/98	Boudewijn	604	22	
	5,792,300	08/11/98	Inderbitzen et al.	156	244.13	
	5,779,716	07/14/98	Cano et al.	606	114	
	5,749,848	05/12/98	Jang et al.	604	53	
	5,746,758	05/05/98	Nordgren et al.	606	159	
	5,728,066	03/17/98	Daneshvar	604	96	
	5,720,764	02/24/98	Naderlinger	606	200	·
	5,669,933	09/23/97	Simon et al.	600	200	
	5,662,671	09/02/97	Barbut et al.	606	170	
	5,658,296	08/19/97	Bates et al.	606	127	
,	5,634,897	06/03/97	Dance et al.	604	35	
	5,569,275	10/29/96	Kotula et al.	606	159	
	5,569,274	10/29/96	Rapacki et al.	606	158	
	5,562,724	10/08/96	Vowerk et al.	623	1	
	5,540,707	07/30/96	Ressemann et al.	606	159	
	5,536,242	07/16/96	Willard et al.	604	30	R!
	5,527,354	06/18/96	Fontaine et al.	623	1	EC.
	5,512,044	04/30/96	Duer	604	22	EIVE 29 20 MAIL
	5,507,767	04/16/96	Maeda et al.	606	198	~
	5,484,418	01/16/96	Quiachon et al.	604	167	00
4	5,476,104	12/19/95	Sheahon	128	757	- L

TRUONL

IPE		Sheet 4 of 10
FORM PTO-1449	Atty. Docket No.: 1001.1350102	Serial No.: 09/723,003
LIST OF PATENTS AND PROPERTY APPLICANT'S INFORMATION DISCLOSURE	Applicant: Broome et al.	
STATEMENT	Filing Date:	Group Art:
	November 27, 2000	3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
W	5,462,529	10/31/95	Simpson et al.	604	101	
	5,456,667	10/10/95	Ham et al.	604	107	
	5,449,372	09/12/95	Schmaltz et al.	606	198	
	5,443,498	08/22/95	Fontaine	623	1	
	5,425,765	06/20/95	Tiefenbrun et al.	623	12	
	5,423,885	06/13/95	Williams	623	1	
	5,423,742	06/13/95	Theron	604	28	
	5,419,774	05/30/95	Willard et al.	604	22	
	5,415,630	05/16/95	Gory et al.	604	53	
	5,409,454	04/25/95	Fischell et al.	604	22	
	5,405,377	04/11/95	Cragg	623	1	
	5,397,345	03/14/95	Lazerus	623	11	·
	5,395,349	03/07/95	Quiachon et al.	604	248	
	5,387,235	02/07/95	Chuter	623	1	
	5,383,926	01/24/95	Lock et al.	623	1	
	5,383,892	01/24/95	Cardon et al.	606	198	= 円
	5,383,887	01/24/95	Nadal	606	200 c	N E
	5,370,683	12/06/94	Fontaine	623	1 :	OEIN 06 29
	5,370,657	12/06/94	Irie	606	200	20 ED
	5,366,473	11/22/94	Winston et al.	606	198	RODM
	5,366,464	11/22/94	Belknap	606	159	DA .
	5,356,423	10/18/94	Tihon et al.	606	194	
	5,354,310	10/11/94	Garnic et al.	606	198	
	5,350,398	09/27/94	Pavcnik et al.	606	200	
	5,330,500	07/19/94	Song	606	198	
W	5,330,484	07/19/94	Gunther	606	128	

•	
FORM	PTO-1449

NIE 27 TON E

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Broome et al.

Filing Date:

Group Art:

November 27, 2000 3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
M	5,318,576	06/07/94	Plassche, Jr. et al.	606	159	
	5,314,472	05/24/94	Fontaine	623	12	
•	5,314,444	05/24/94	Gianturco	606	195	
	5,306,286	04/26/94	Stack et al.	606	198	
	5,224,953	07/06/93	Morgentaler	606	192	
	5,195,955	03/23/93	Don Michael	604	22	
	5,171,233	12/15/92	Amplatz et al.	604	281	
	5,160,342	11/03/92	Reger et al.	606	200	
	5,152,777	10/06/92	Goldberg et al.	606	200	
	5,152,771	10/06/92	Sabbaghian et al.	606	159	
	5,135,531	08/04/92	Shiber	606	159	
	5,133,733	07/28/92	Rasmussen et al.	606	200	
	5,104,399	04/14/92	Lazarus	623	1	
	5,102,415	04/07/92	Guenther et al.	606	159	
	5,100,425	03/31/92	Fischell et al.	606	159	
	5,100,424	03/31/92	Jang et al.	606	159	
	5,087,265	02/11/92	Summers	606	159	
	5,085,662	02/04/92	Willard	606	159	
	5,071,425	12/10/91	Gifford, III et al.	606	159	
	5,053,044	10/01/91	Mueller et al.	606	159 5	
	5,053,008	10/01/91	Bajaj	604	104	REC
	5,041,126	08/20/91	Gianturco	606	195	
	5,019,088	05/28/91	Farr	606	159	
	5,007,917	04/16/91	Evans	606	170	2001 RO
	5,007,896	04/16/91	Shiber	604	22	ROOM
ON	5,002,560	03/26/91	Machold et al.	606	198	

EXAMINER:

K. TRUONDA

DATE CONSIDERED:

3/7/03

FORM	PTO-144	19
	-	

NIE 27 7001 TO

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Broome et al.

Filing Date:

Group Art:

November 27, 2000

3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
ust	4,998,539	03/12/91	Delsanti	128	898	
1	4,986,807	01/22/91	Farr	604	22	
	4,979,951	12/25/90	Simpson	606	159	
	4,969,891	11/13/90	Gewertz	606	200	
	4,957,482	09/18/90	Shiber	604	22	
	4,955,895	09/11/90	Sugiyama et al.	606	194	
	4,950,277	08/21/90	Farr	606	159	
	4,921,484	05/01/90	Hillstead	604	104	
	4,921,478	05/01/90	Solano et al.	604	53	
	4,907,336	03/13/90	Gianturco	29	515	
	4,898,575	02/06/90	Fischell et al.	604	22	
1	4,867,157	09/19/89	McGurk-Burleson et al.	128	305	
	4,857,046	08/15/89	Stevens et al.	604	22	
	4,857,045	08/15/89	Rydell	604	22	
	4,842,579	06/27/89	Shiber	606	22	
	4,807,626	02/28/89	McGirr	128	328	
	4,800,882	01/31/89	Gianturco	128	343	
	4,794,931	01/03/89	Yock	128	660.03	
	4,790,813	12/13/88	Kensey	604	22 💍	
	4,733,665	03/29/88	Palmaz	128	343) [
	4,705,517	11/10/87	DiPisa, Jr.	623	12	\leftarrow
	4,662,885	05/05/87	DiPisa, Jr.	623	12 2	200 E
	4,650,466	03/17/87	Luther	604	95	
	4,643,184	02/17/87	Mobin-Uddin	128	303	
	4,631,052	12/23/86	Kensey	604	22	
W	4,619,246	10/28/86	Molgaard-Nielsen et al.	128	1	

EXAMINER:

K. TRUONA

DATE CONSIDERED:

3/7/03

	IPE!
FORM PTO-1449	AUG 2 7 2800 2
LIST OF PATENTS	AND POSSE ATIONS

STATEMENT

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND POSSE ATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE

Broome et al.

Filing Date:

Applicant:

Group Art:

November 27, 2000

3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
14	4,590,938	05/27/86	Segura et al.	128	328	
	4,580,568	04/08/86	Gianturco	128	345	
	4,447,227	05/08/84	Kotsanis	604	95	
	4,046,150	09/06/77	Schwartz et al.	128	328	
	3,996,938	12/14/76	Clark, III	128	348	
	3,952,747	04/27/76	Kimmell, Jr.	128	303R	
	3,889,657	06/17/75	Baumgarten	128	2	
	3,683,904	08/15/72	Forster	128	127	
	3,592,186	07/13/71	Oster	128	2R	
	3,472,230	10/1969	Fogarty	128	328	
	Re 33,569	04/1991	Gifford, III et al.	606	159	
	B1 4,842,579	10/31/95	Shiber	604	22	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
11.8	WO 00/49970	08/31/00	WIPO	A61F	2/01	
	WO 00/16705	03/30/00	WIPO	A61B	17/22	; }
	WO 00/09054	02/24/00	WIPO	A61F		; ₌₌ 70
	WO 00/07655	02/17/00	WIPO	A61M	29/00	AUG 2
	WO 99/58068	11/18/99	WIPO	A61B	17/22	P9
	WO 99/55236	11/04/99	WIPO	A61B	17/00	ED 200
	WO 99/44542	09/10/99	WIPO	A61F	2/06))
	WO 99/44510	09/10/99	WIPO	A61B	17/00	
	EP 0 934 729	08/11/99	WIPO	A61B	17/22	
\w\	WO 99/42059	08/26/99	WIPO	A61F	2/06	

EXAMINER:

K-TRUONG

DATE CONSIDERED:

3/7/03

	IPE
FORM PTO-1449	AUG 27 2001
	THE TA TRADES

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Broome et al.

Filing Date:

Group Art:

November 27, 2000

3731

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
My	WO 99/40964	08/19/99	WIPO	A61M	29/02	
	WO 99/30766	06/24/99	WIPO	A61M	29/00	
	WO 99/25252	05/27/99	WIPO	A61B	17/00	
	WO 99/23976	05/20/99	WIPO	A61F	2/01	
	WO 99/22673	05/14/99	WIPO	A61F	2/01	
	FR 2 768 326 A1	03/19/99	FR	A61F	02/01	English Abstrast
7	WO 99/09895	03/04/99	WIPO	A61B	17/12	
	WO 98/55175	12/10/98	WIPO	A61M	29/00	
	WO 98/51237	11/19/98	WIPO	A61F	2/01	. "
	WO 98/50103	11/12/98	WIPO	A61M	29/00	**
	WO 98/49952	11/12/98	WIPO	A61B	17/32	
	WO 98/47447	10/29/98	WIPO	A61F	2/06	
	WO 98/46297	10/22/98	WIPO	A61M	29/00	
	WO 98/39053	09/11/98	WIPO	A61M	29/00	
	WO 98/39046	09/11/98	WIPO	A61M	25/00	
	WO 98/38929	09/11/98	WIPO	A61B	17/22	,
	WO 98/38920	09/11/98	WIPO	A61B	17/00 =	
	WO 98/36786	08/27/98	WIPO	A61M	5/32 w	R
	WO 98/34673	08/13/98	WIPO	A61M	31/00 응	EC
	EP 0 852 132 A1	07/08/98	EPO	A61F	2/01 🕏	English Abstract
	WO 98/23322	06/04/98	WIPO	A61M	29/00	EC 200
	WO 98/02084	01/22/98	WIPO	- A61B	200	
	WO 97/42879	11/20/97	WIPO	A61B	17/00	
	WO 97/27808	08/07/97	WIPO	A61B	17/22	
	EP 0 784 988 A1	07/23/97	EPO	A61M	5/165	
4/	WO 97/17100	05/15/97	WIPO	A61M	29/00	

EXAMINER:

K. TRUMG

DATE CONSIDERED:

3/1/03

FORM	PTO-	1449

AUG 27 7001

Atty. Docket No.: 1001.1350102

Serial No.: 09/723,003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Broome et al.

Filing Date:

Group Art:

November 27, 2000

3731

-	Document No.	Date	Country	Class	Sub Class	Translation Yes No
M	EP 0 771 549 A2	05/07/97	ЕРО	A61F	2/01	English Abstract
	EP 0 759 287 A1	02/26/97	EPO	A61F	2/01	English Abstract
	EP 0 743 046 A1	11/20/96	EPO	A61F	2/01	
	WO 96/33677	10/31/96	WIPO	A61F	11/00	
	WO 96/23441	08/08/96	WIPO	A61B	5/00	
	JP 8-187294 A	07/23/96	JP	A61M	29/00	English Abstract
	WO 96/19941	07/04/96	WIPO	A61B	17/00	
	WO 96/10375	04/11/96	WIPO	A61F	2/06	
	EP 0 696 447 A2	02/14/96	EP	A61F	2/06	
	EP 0 686 379 A2	06/02/95	EP	A61F	2/06	
	EP 0 655 228 A1	11/22/94	EPO	A61F	2/02	English Abstract
	WO 94/14389	07/07/94	WIPO	A61F	2/02	English Abstract
	EP 0 533 511 A1	03/24/93	EP	A61M	29/02	
	FR 2 666 980	03/27/92	FR	A61F	2/02	English Abstract
	WO 92/03097	03/05/92	WIPO	A61B	17/00=	
	EP 0 472 368 A2	02/26/92	EP	A61B	17/22 ^{cs} .	显忍
	EP 0 472 334 A1	02/26/92	EP	A61F	2/02	RECE
	EP 0 437 121 B1	07/17/91	EP	A61F	2/02 🕏	EIV 29
	EP 0 427 429 A2	05/15/91	EPO	A61M	25/10	ED 200
	EP 0 411 118 A1 🗸	02/06/91	EP	A61M	25/00	
	FR 2 643 250 A1	08/24/90	FR	A61B	17/00	English Abstract
	EP 0 293 605 A1 V	12/07/88	EP	A61F	2/02	
	FR 2 580 504 /	10/24/86	FR	A61M	1/00	English Abstract
	EP 0 200 688 🗸	11/05/86	EP	A61B	17/22	
1/	DE 34 17 738	11/14/85	DE	A61M	1/34	Yes
4/	DE 28 21 048	07/17/80	DE	A61B	17/22	

EXAMINER: L

- TRUONO

DATE CONSIDERED:

3/1/07

	A F		Sheet	10 of 10
FORM PTO-1449	AUG 27 2001	Atty. Docket No.: 1001.1350102	Serial No.: 09/723,003	
APPLICANT'S INFO	AND PUBLICATIONS FOR DRMATIONDISCLOSURE	Applicant: Broome et al.		
STA	TEMENT	Filing Date:	Group Art:	
		November 27, 2000	3731	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

M	"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," The New England Journal of Medicine, pp. 1216-1221 (May 1996)
	"Endovascular Grafts, Stents Drive Interventional Radiology Growth," <u>Cardiovascular Device</u> Update, 2(3):1-12 (March 1996)
	"Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 American College of Physicians (1991)
	"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," American College of Cardiology (January 1991)
	Diethrich et al., "Percutaneous Techniques for Endoluminal Carotid Interventions," <u>J. Endovasc.</u> Surg., 3:182-202 (1996)
	Fadali, A. Moneim, "A filtering device for the prevention of particulate embolization during the course of cardiac surgery," Surgery, 64(3):634-639 (September 1968)
	Haissaguerre et al., "Spontaneous Initiation of Atrial Fibrillation by Ectopic Beats Originating in the Pulmonary Veins," The New England Journal of Medicine, 339(10):659-666 (September 1988)
	Jordan, Jr. et al., "Microemboli Detected by Transcranial Doppler Monitoring," Cardiovascular Surgery, 7(1)33-38 (January 1999)
	Lesh, "Can Catheter Ablation Cure Atrial Fibrillation?" ACC Current Journal Review, pp. 38-40 (September/October 1997)
	Marache et al., "Percutaneous Transluminal Venous Angioplasty," Américan Heart Journal, 125(2 Pt 1):362-366 (February 1993)
	Mazur et al., "Directional Atherectomy with the Omnicath™: A Unique New Catheter System," Catheterization and Cardiovascular Diagnosis, 31:17-84 (1994)
	Moussa, MD, Issaam "Stents Don't Require Systemic AnticoagulationBut the Technique and Results) Must be Optimal," Journal of Invasive Cardiol., 8(E):3E-7E, (1996)
	Nakanishi et al., "Catheter Intervention to Venous System Using Expandable Metallic Stents," Rinsho Kyobu Geka, 14(2):English Abstract Only (April 1994)
	Onal et al., "Primary Stenting for Complex Atherosclerotic Plaques in Aortic and Iliac Stenoses," Cardiovascular & Interventional Radiology, 21(5):386-392 (1998)
W	Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Journal of Neuroradiology, 11:869-874 (1990)

DATE CONSIDERED: 3/1/27

(A)	Tunick et al., "Protruding atherosclerotic plaque in the aortic archo f patients with systemic embolization: A new finding seen by transesophageal echocardiography," <u>American Heart Journal</u> 120(3):658-660 (September 1990)
W	Waksman et al., "Distal Embolization is Common After Directional Atherectomy," American Heart Journal, 129(3):430-435 (1995)
W	Wholey, Mark H. et al., PTA and Stents in the Treatment of Extracranial Circulation," The Journal of Invasive Cardiology, 8(E):25E-30E (1996)

RECEIVED AUG 29 2001 TC 3700 MAIL ROOM

EXAMINER: K. TRUONZ

DATE CONSIDERED:

3/7/03





#6 Sheet<u>1</u> of <u>2</u>

	OIP
	LIST OF REFERENCES CITED BY APPLICANT
PAT	2 9 2007 (Use several sheets if necessary)

ATTY. DOCKET NO.

10177-108-999

09/723,003

APPLICATION NO.

09/723,003

APPLICATION NO.

GROUP

November 27, 2000

3732

200	TANK DE				November 27, 2000		1	3732	
Proper	MARIN			J.S. PATENT DOCUM	IENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CL	ASS	SUBCLASS	FILING DATE IF APPROPRIAT
M	вк	3,592,186	07/71	Oster			\mathcal{I}	7	
!/	BL	3,683,904	08/72	Forster			Ш		
	ВМ	3,952,747	04/76	Kimmell, Jr.					
	BN	3,996,938	12/76	Clark, III					
	во	4,046,150	09/77	Schwartz et al.					
	BP	4,921,484	05/90	Hillstead			10		
	BQ	4,969,891	11/90	Gewertz			371		2
	BR	4,998,539	03/91	Delsanti			1 0(ED ()
	BS	5,002,560	03/91	Machold et al.			IVI	((3
	ВТ	5,102,415	04/92	Guenther et al.				202	5
	ВU	5,133,733	07/92	Rasmussen et al.			MD MD		
	BV	5,190,555	03/93	Wetter et al.					
	вw	5,350,310	10/94	Garnic et al.					
	вх	5,370,657	12/94	Irie					
	BY	5,415,630	05/95	Gory et al.					
	BZ	5,456,667	10/95	Ham et al.					
	CA	5,476,104	12/95	Sheahon					
	СВ	5,658,296	08/97	Bates et al.					
	СС	5,662,671	09/97	Barbut et al.					
	CD	5,746,758	05/98	Nordgren et al.					
	CE	5,779,716	07/98	Cano et al.					
	CF	5,792,300	08/98	Inderbitzen et al.					
	CG	5,817,102	10/98	Johnson et al.					
	СН	5,833,644	11/98	Zadno-Azizi et a	1.				
	CI	5,833,650	11/98	Imran					
	C1	5,846,260	12/98	Maahs					
	СК	5,892,867	04/99	Bagaoisan et al.					
	CL	5,954,745	09/99	Gertler et al.	-				
	_/ СМ	5,976,172	11/99	Homsma et al.			\prod		
1 /	CN	6,001,118	12/99	Daniel et al.	-		\prod		
W	со	6,068,645	05/00	Tu			_	الم	

					Hop:	#091	723,00	eet 2 of	2
OIPS	СP	6,086,577	07/00	Ken et al.					
	5		FOI	REIGN PATENT DOCUMENTS					
JAN 2 9 201	2 5	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS	SLATION
& MADELIAN	187/	WO 98/02084	01/98	WIPO					
The	CR	WO 98/39053	09/98	WIPO				··	
/			_ [
		ОТНЕ	R REFERENCE	S (Including Author, Title, Date, Per	rtinent Pages, Etc.)				
								_	
EXAMINE	· W	2 , TD	Mel	DATE CONSIDER	RED 3/	17/0	3		
				on is in conformance with MPEP 60 ith next communication to applicant.		citation if n	<u> </u>	쥬) }
							3-6 2002 0 MAIL ROOM	CEIV	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2

Г			OF REFERENCES CIT			ATTY, DOCKET NO.			APPLICATION NO.		
يرا	E		AR DEFENDENCES OF	CED DV ADDI	I C A NIT	10177-108-999			09/723,003		
18	E 25		JF REFERENCES CIT	(BY APPL	ICANI	APPLICANT					
기	MIL	ابيو	(Use several sneets ty	necessary)		Broome et al.			GROUP		
l or	10 2000	ĬĘ)				November 27, 2000 3732					
74.		\$							3132		
A COV	T& THANK	<u> </u>			.S. PATENT DOCUM		_		T	$\overline{}$	
1 - E	XAMINER INITIAL		DOCUMENT NUMBER	DATE	-	NAME	CI	ASS	SUBCLASS	FILING IF APPR	G DATE COPRIATE
	12	CS	5,980,555	11/9/99	Barbut et al.		- -	+		ļ	
<u> </u>		CT	5,989,281	11/23/99	Barbut et al.			1	 		
<u> </u>		CU	5,997,557	12/7/99	Barbut et al.			┥_	$\sqcup \downarrow \sqcup$		
_		ćv	6,010,522	1/4/00	Barbut et al.	The state of the s		1	1		
		CW	6,086,605	7/11/00	Barbut et al.	TECHNO	97	- 3			
		сх	6,090,097	7/18/00	Barbut et al.	Y	ಲ				
		CY	6,117,154	9/12/00	Barbut et al.			<u>}.</u>			
Ŀ		CZ	6,136,016	10/24/00	Barbut et al.	APR CHNOL					
		DA	6,179,851	1/30/01	Barbut et al.	JLO R	13				
_		DB	6,235,045	5/22/01	Barbut et al.	<u> </u>	2				
		DC	6,309,399	10/30/01	Barbut et al.	ALCHNOLOGY CHATEA ROO		<u> </u>	1		
						A RE					
				FOR	EIGN PATENT DOC	CUMENTS 8				·	
			DOCUMENT NUMBER	DATE		COUNTRY	CI	LASS	SUBCLASS	TRANS	LATION
\vdash	1/1/									YES	NO
	17	/ DD	WO 98/50103	11/12/98	WIPO				<u> </u>		
		DE	WO 99/23976	5/20/99	WIPO		<u> </u>				
<u> </u>					<u> </u>						L
-		r – –	OTHEI	R REFERENCES	(Including Author, Ti	tle, Date, Pertinent Pages, Etc.)					
											_
_											
<u>_</u>											
EX	AMINER	K	TRUM	2	DATE	considered 3/-	>/<	5	3		
						th MPEP 609; Draw line through	h citatio	n if n	not		
<u> </u>	ii Comorm	ance and	not considered. Include co	py or uns form with	n next communication	ю аррисані.					

FORM	1449*		

NFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

Use several sheets if necessary)

Docket	Numbe	r

Application Number:

1001.1350102

09/723,003

Applicant: Broome et al.

Filing Date: November 27, 2000

Group Art Unit: 3731

TRADEMAKE P			U.S. Patent Documents		
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
Im	6,344,049	02/05/02	Levinson et al.	P	.C 3
1	6,319,268	11/20/01	Ambrisco et al.		20 点 图
	6,309,399	10/30/01	Barbut et al.		3 = M
	6,290,710	09/18/01	Cryer et al.		EVE 1 200
	6,287,321	09/11/01	Jang		/ED 2002 L ROOM
	6,280,413	08/28/01	Clark et al.		ž
	6,277,139	08/21/01	Levinson et al.		
	6,277,138	08/21/01	Levinson et al.		
	6,270,513	08/07/00	Tsugita et al.		
	6,264,672	07/24/01	Fisher		
	6,264,663	07/24/01	Cano		
	6,258,115	07/10/01	Dubrul		
	6,245,089	06/12/01	Daniel et al.		
	6,245,088	06/12/01	Lowery		
	6,245,087	06/12/01	Addis		
	6,238,412	05/29/01	Dubrul et al.		
	6,235,045	05/22/01	Barbut et al.		
	6,235,044	05/22/01	Root et al.		
	6,231,544	05/15/01	Tsugita et al.		
	6,224,620	05/01/01	Maahs		
	6,221,006	04/24/01	Dubrul et al.		
	6,206,868	03/24/01	Parodi		
	6,171,328	01/09/01	Addis		
	6,136,016	10/24/00	Barbut et al.		
	6,117,154	09/12/00	Barbut et al.		
	6,066,149	05/23/00	Samson et al.		
1	6,051,015	04/18/00	Maahs	1	1

		. ^		
EXAMINER:	F,	TRUONA	DATÉ CONSIDERED:	3/7/23
EVALUATED .			The section of the MDCD COOL of	many time a shared cale assertion is an

9 200 C IN AN APPLICATION

Docket Number: **Application Number:** 1001.1350102 09/723,003 Applicant: Broome et al.

(Use several sheets if necessary)

Filing Date: November 27, 2000 Group Art Unit: 3731

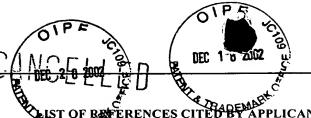
			U.S. Patent Documents		
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
IN	6,042,598	03/28/00	Tsugita et al.	1	ω \supset
7	5,980,555	11/09/99	Barbut et al.		RE(
W	5,792,157	08/11/98	Mische et al.		MA AM
 -		FORE	IGN PATENT DOCUMENTS		
, ,	W.O. 04/01004	r			D
1/1-	WO 01/91824	12/06/01	WIPO	1	——
	WO 01/89413	11/29/01	WIPO		
	WO 01/87183	11/22/01	WIPO		
	WO 01/72205	10/04/01	WIPO		
	WO 01/70326	09/27/01	WIPO		
	WO 01//67989	09/20/01	WIPO		
]	EP 1 127 556 A2	08/29/01	ЕРО		
	WO 01/60442	08/23/01	WIPO		
	WO 01/58382	08/16/01	WIPO		
,	WO 01/52768	07/26/01	WIPO		
	WO 01/49355	07/12/01	WIPO		
,	WO 01/49215	07/12/01	WIPO		
	WO 01/49209	07/12/01	WIPO		
	WO 01/49208	07/12/01	WIPO		
,	WO 01/47579	07/05/01	WIPO		
	WO 01/43662	06/21/01	WIPO		
	WO 01/35857	05/25/01	WIPO		
	WO 01/26726	04/19/01	WIPO		
	WO 01/21100	03/29/01	WIPO		
	WO 01/21077	03/29/01	WIPO		
	WO 01/15629	03/08/01	WIPO		
	WO 01/10320	02/15/01	WIPO		

EXAMINER:	R.	7240W	a	DATE CONSIDERED:	3/1/2	
					draw line through citation if not	in
conformance and not	considered. Inclu	ide copy of this form	for next comm	nunication to the Applicant.		

FORM 1	_	,		Docket Number:	Applica	ition Number:
OIPE	INFORMATION DISCLO	SURE STATEME	NT	1001.1350102	09/723	,003
		ICATION		Applicant: Broome et	al.	
701 0 9 X	(Use several sheet		Filing Date: Novembe	r 27, 2000	Group Art Unit: 3731	
EN.	.5.45					
THE THE	WO 01/08743	02/08/01	WIPO			
1	WO 01/08742	02/08/01	WIPO			
	WO 01/08596	02/08/01	WIPO			
	WO 01/08595	02/08/01	WIPO			
	WO 01/05462	01/25/01	WIPO			T _C
	WO 00/67669	11/16/00	WIPO			R 37c
	WO 00/67668	11/16/00	WIPO			JUL 1
	WO 00/67666	11/16/00	WIPO		-	1
	WO 00/67665	11/16/00	WIPO			2002
	WO 00/67664	11/16/00	WIPO			2
	DE 199 16 162	10/26/00	Germany	у		English Abstract
	WO 00/53120	09/14/00	WIPO			
	WO 00/07521	02/17/00	WIPO			
	FR 2 694 687	08/12/92	FR			English Abstract

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		N		
EXAMINER:	E.	TRUONA	DATE CONSIDERED:	3/1/03
			citation is in conformance with MPEP 609;	draw line through citation if not in
conformance a	nd not considered.	Include copy of this form for	or next communication to the Applicant.	





	10.	a sond St D	ו אַטעע פּיין	· · · · · · · · · · · · · · · · · · ·	· ***			
E CONTRACTOR OF THE PROPERTY O		FEET TELL BY	Č.	ATTY, DOCKET NO.	ATTY, DOCKET NO.		APPLICATION NO.	
M M		e de la companya de l	BADEMARK	10177-108-999	10177-108-999		09/723,003	
\ \X	LIST (OF REFERENCES CITE	BY APPLI	ICANT APPLICANT	APPLICANT			
	- LAI	(Use several sheets if n	ecessary)	Broome et al.	Broome et al.			
				FILING DATE	FILING DATE		GROUP	
				November 27, 2000		3732		
			U.S	S. PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
W	DF	6,277,139 B1	8/21/01	Levinson et al.				
			FORE	EIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		SUBCLASS	TRANSLATION .	
						ļ	YES NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
							*	
		;						
EXAMINER & TROOKG DATE CONSIDERED 3/7/03								

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TC 3700 MAIL ROOM